

[First Version Approved by the Board of Governors at its Meeting held on 10/06/2015 vide Resolution Ref. No.: 2a]

## Preamble

The University embraces Innovation ecosystem through four entities, viz., Academics, Research, Training & Lifelong Learning and Consultancy. RUAS has created Research Centers to facilitate multidisciplinary research and convert innovative ideas into technology demonstrations, patenting of ideas by encouraging RUAS Community to participate in National and International competitions. RUAS encourages Faculty Members to protect their intellectual property/knowledge by filing patents every year. Currently, the University has filed more than 170 patents and 23 patents are awarded (national and international). The list of filed and awarded patents are available at http://www.msruas.ac.in/research/research\_patents.

#### Aim of the IPR Policy

The aim of the IPR Policy is to provide guidelines for Faculty Members to protect Intellectual Property generated within the University or jointly with Third Parties. This will envisage the Faculty Members to identify novel ideas for Patenting either by the University Member/s or by a patent consultant engaged by the University. In addition, Faculty Members shall be exposed to an opportunity for Patent Commercialization through licensing based on the market/business potential.

## **Objectives of the IPR Policy**

The objectives of IPR Policy include:

- To encourage and provide guidelines for protecting Intellectual Property generated within the University
- To equip Faculty Members to identify novel ideas for Patenting
- To expose the RUAS Community for Patent Commercialization and Licensing

#### **Guidelines and Terms of Reference**

IPR comprises Intellectual Property generated within the University or jointly with Third Parties. This includes Patents, Copyrights and other forms of Ownership of Rights to Intellectual Property. Intellectual Property normally is generated in one or more of the following ways:



	Category - 1	Category - 2	Category - 3	Category - 4	Category - 5
How Generated:	Student Project- Undergraduate and Postgraduate	Ph.D Research	Sponsored Research Projects & Consultancy Arrangements	During Teaching / Learning or On Campus Activity	Intellectual Property Generated By a Non-Member of the University, But Entered into an Agreement with
					University for Commercialization of Patent /IPR
Inventor(s):	Student/s & Project Supervisor/s	Research Scholar & Project Supervisor/s	Research Team	Student /s and/or Member of Staff	Third Party
Patent Owner:	University	University	Sponsoring Agency or University or Joint Ownership	University	Third Party & University

- The Patentable idea is identified by the University Member/s (as defined in the University's Statues) OR by a patent consultant engaged by the University
- 2. The expenses incurred for filing and award of patents will be borne by the University
- **3.** The University reserves the right in its absolute discretion to decide upon whether to file anapplication for the award of patents or not
- 4. Anybody who is interested in commercializing University owned IPR should enter into an agreement with the University. The University may appoint Consultants or Patent Commercialization Organizations for this purpose. The terms of the Agreements will be negotiated in each Instance / case by case basis
- 5. Where a Patent is commercialized, the NET Revenue (after deduction of all expenses incurred) generated by the University may be shared with the Inventor(s). The percentage to be given to the inventors would normally range between 15 % to 25 % of NET Revenue
- **6.** In category 5, the commercialization of the IPR will be the subject matter of a separate agreement between the University and the Inventor(s)
- 7. For all the situations described in Clause #1, above, an empowered committee constituted by the Hon'ble Vice Chancellor will be the final authority to make decisions with respect to Technology, Commercialization Agreement, Revenue Sharing percentages and other



Commercial and Legal Aspects of such arrangements

#### **Procedure for Filing Patents**

The Research Division coordinates filing of Patents on a yearly basis. Faculty Members shall fill the Invention Disclosure Form and submit to the Research Division to process further with regard to filing of Patents. The flowchart for the evaluation of Invention Disclosure and its subsequent selection for filing the Patent is shown in Fig. 1.

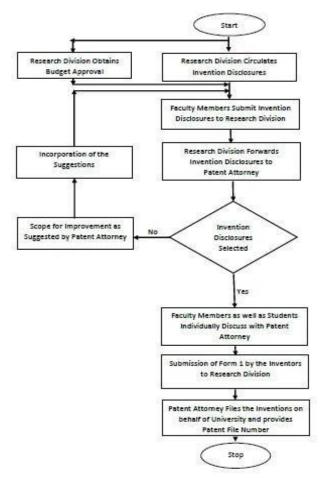


Figure 1. Process for Patent Filling

The Invention Disclosure Form (Annexure 01) will be sent by the Research Division to all the Faculty Members usually in the Month of September every year. Special emphasis is provided for filing of Patents and Technology Transfer for the benefit of local society and mankind. RUAS encourages participation in Smart India Hackathons and other National Innovation initiatives.



# Annexure – 01

# **Template for Invention Disclosure**

- 1. Title of Invention:
- 2. Names of Inventors (Sur Name, Middle Name, First Name) with Name of Department/Faculty with E-mail Id:
- 3. Date of Reporting of Invention:
- 4. Brief Summary of Invention:
- 5. Detailed Description of Invention with detailed information including Drawings/Flow Chart/Results:
- 6. Discussion of Novelty of the Invention and the advantages of the solution the invention provides:
- 7. Complete Details of Prior art or Published literature which are closelyrelated to the proposed invention and how the proposed invention is different from the existing solutions:
- 8. Possible short term and long term commercial applications:
- 9. Details of competing products (if any):

Signatures of all Inventors with Date:

